

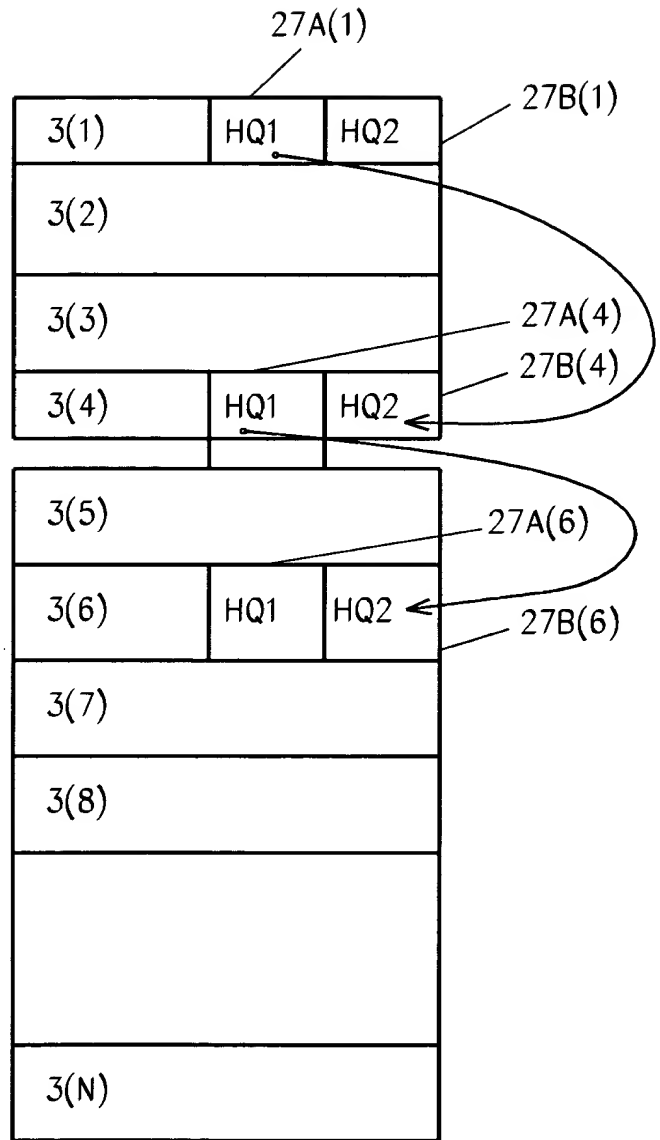
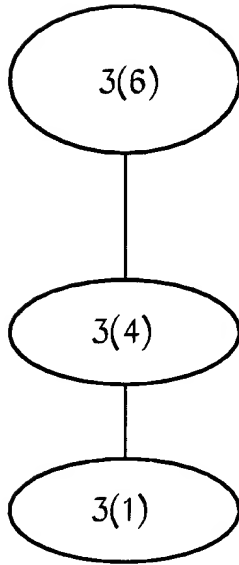
FIG. 1

09/782596-00001

NAME		LEGAL NAME	22
ADDRESS			23
CONTACT PERSON	TELEPHONE NUMBER		25
FAX NUMBER	EMAIL ADDRESS		202
JURISDICTION OF ORGANIZATION			26
LEGAL FORM	MARITAL STATUS		28
HQ1	HQ2	PARTY RELATIONSHIP	

27A 27B 29 3(x)

FIG. 2



3

FIG. 3A

29(1)		3(1)
		3(2)
29(3)	G	3(3)
		3(4)
29(5)		3(5) [XYZ Corp.]
		3(6)
29(7)	BD	3(7) [Bob Smith]
		3(8)
		3(N)

FIG. 4

ACCOUNT NO.	ACCOUNT TYPE	
PRINCIPAL	ORDER PLACER	BOOKING CO.
SALES PERSON		OPERATIVE AGREEMENT
GUARANTOR		COMMISSION RATE
ADDITIONAL PARTIES/ROLES		

5(X)

FIG. 5

045536 03031

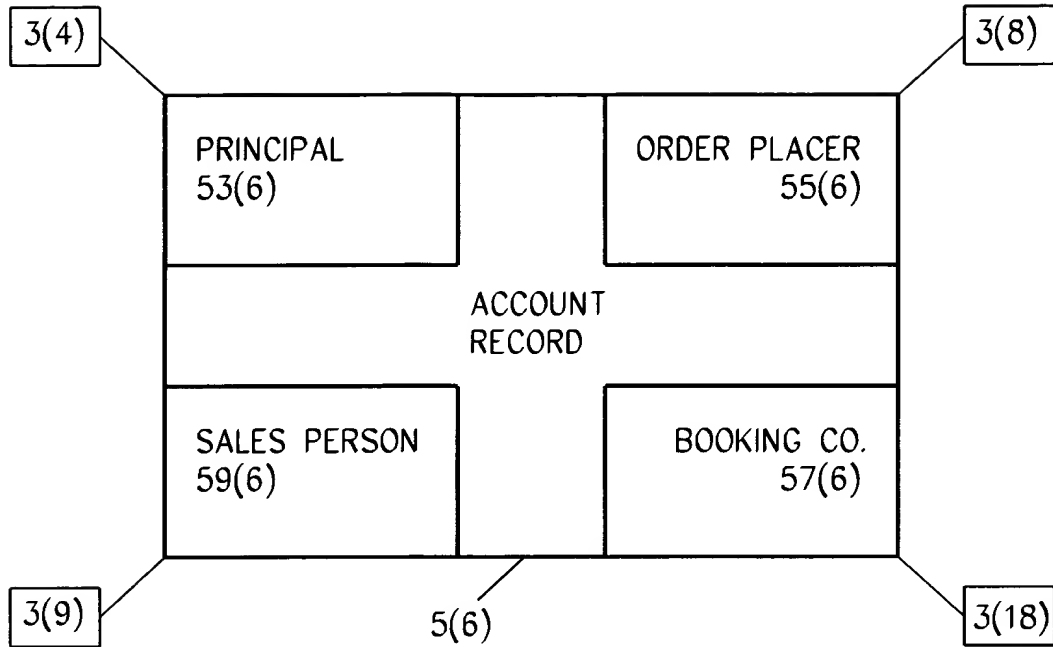


FIG. 6

Account
Party

Action Requested

☒ Search by Number

☐ Search by Facts

☒ Request New Account

Action Value

Please complete some or all of below account facts

Work Flow

Phase

Task

Account System

Account Number

Account Status

Account Purpose

Comments

Back  Next

Cancel All

Product(s) & Agreement

General Product	Specific Product	Operative Agreement
OTC Fixed Income Derivatives ⬇	Interest Rates Swaps ⬇ ⬆	Master Agreement ⬇
⬇	⬇ ⬆	

Roles & Parties

Core Roles	Alias	Full Name	Address	Org. Unit
ORDER PLACER(S)				⬆
				⬇
PRINCIPAL(S)				⬆
				⬇
SALES COVERAGE				
BOOKING COMPANY				
Other Roles				
⬇				⬆
⬇				⬇

MAKE FAVORITE ACCOUNT
ACCOUNT
EDIT ACCOUNT
SAVE IN PROGRESS

FIG. 7

ACCOUNT NO.	TRANSACTION NO.		TRANSACTION DATE/TIME
INSTRUMENT TYPE		TRANSACTION TYPE	
PURCHASE QUANTITY	PURCHASE PRICE	COMMISSION AMOUNT	

7(X)

FIG. 8

106030" 96532/50

09765556-0306904

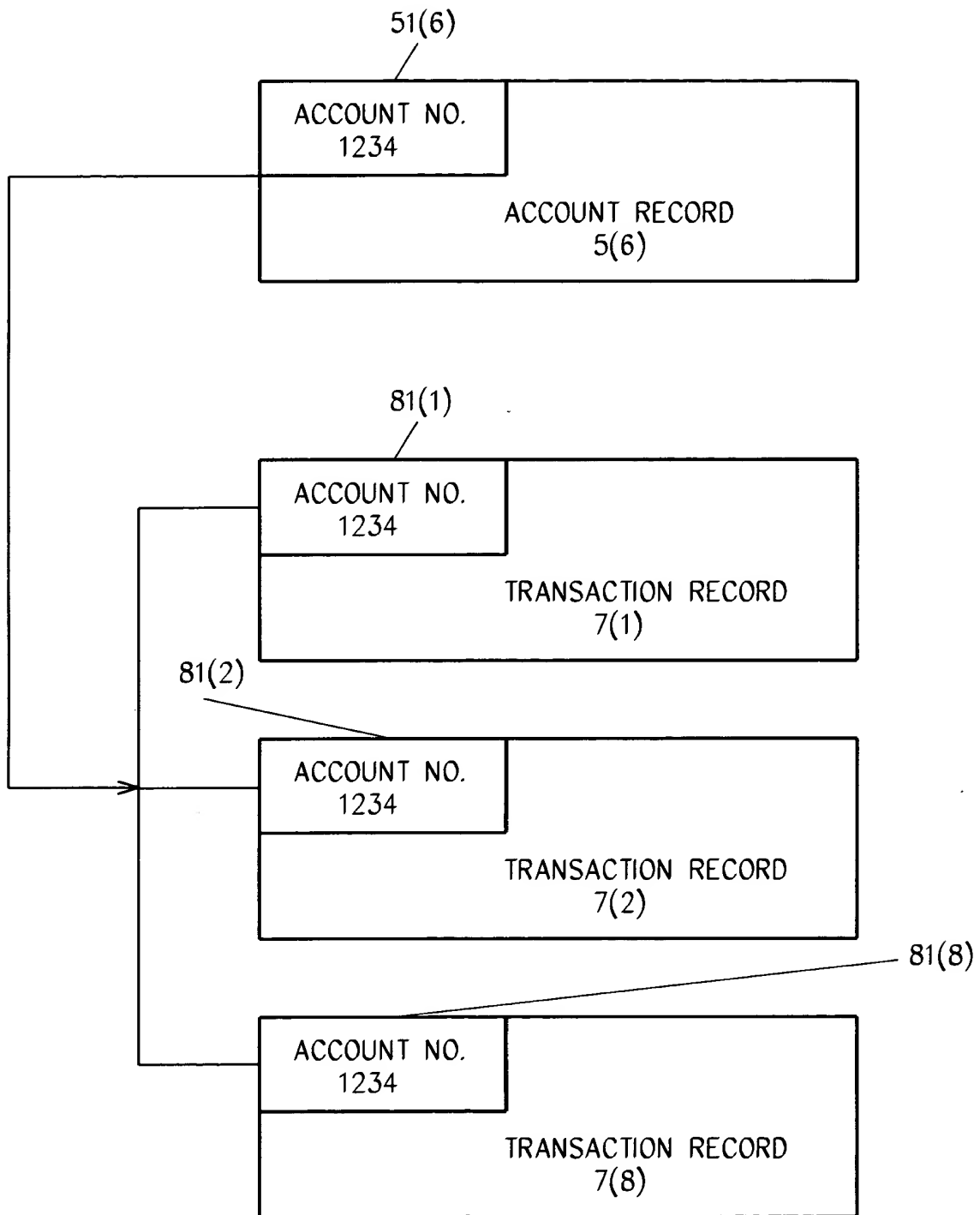


FIG. 9

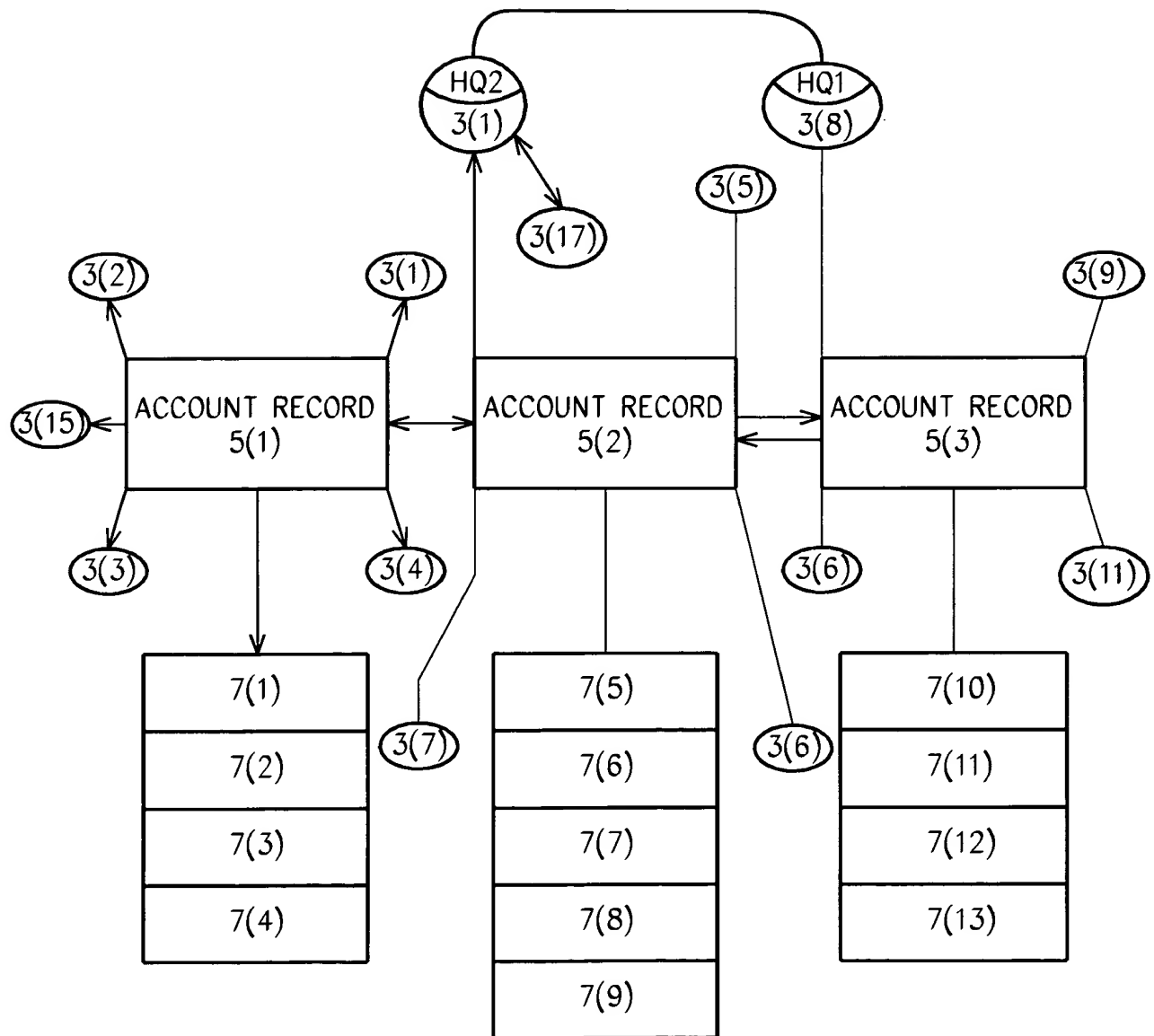


FIG. 10

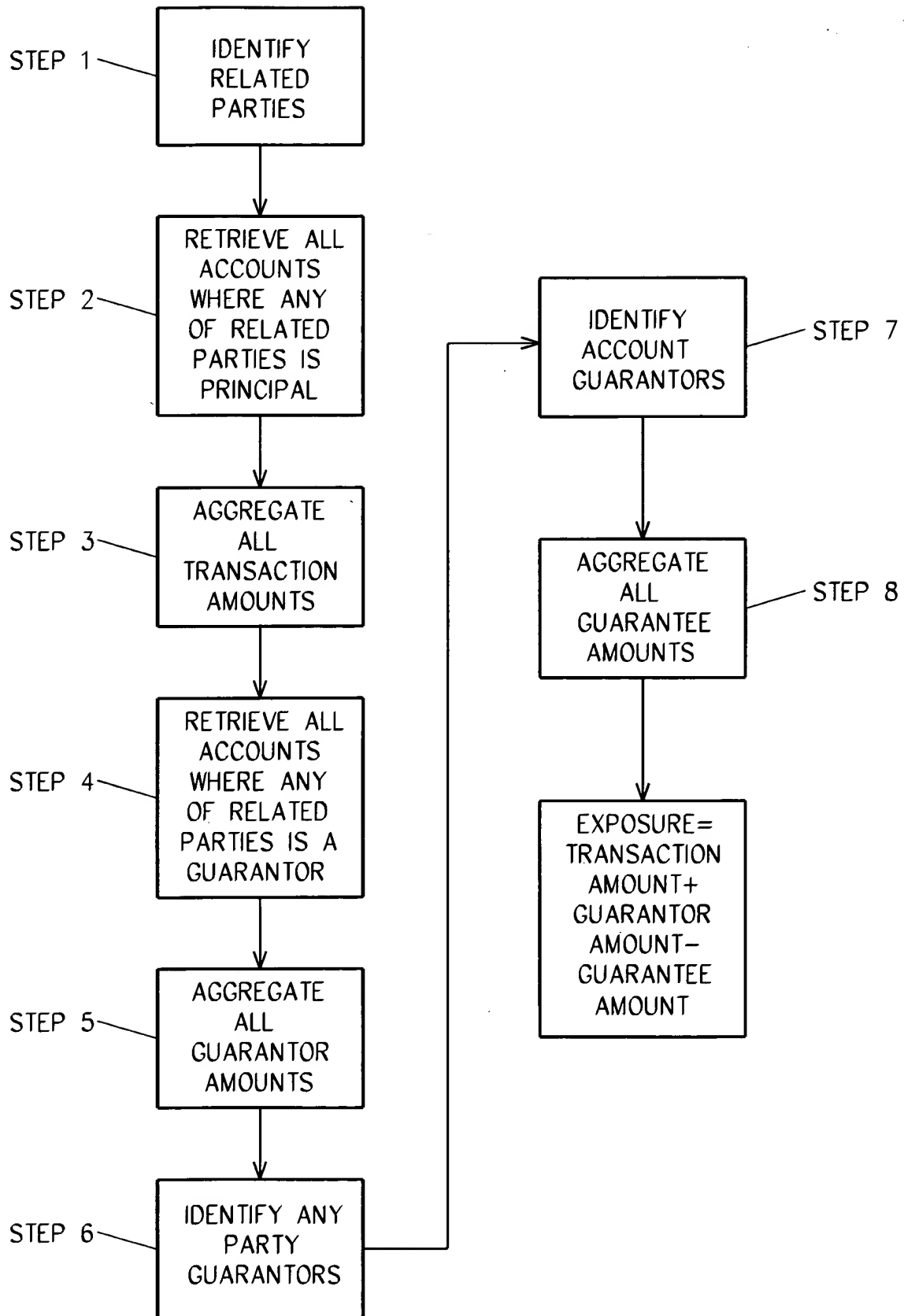


FIG. 11